

1 JOHN W. COTTON (SBN 54912)
Email: JCotton@gghslaw.com
2 GARTENBERG GELFAND & HAYTON LLP
15260 Ventura Blvd., Suite 1920
3 Sherman Oaks, CA 91403
(213) 542-2100
4 (818) 292-0898

5 Counsel to the Receiver
Sherwood Partners Inc.
6

7 **UNITED STATES DISTRICT COURT**
8 **NORTHERN DISTRICT OF CALIFORNIA**
9

10 SECURITIES AND EXCHANGE
COMMISSION,

11 Plaintiff,

12 v.

13 JOHN B. BIVONA; SADDLE RIVER
14 ADVISORS, LLC; SRA
MANAGEMENT ASSOCIATES, LLC;
15 FRANK GREGORY MAZZOLA

16 Defendants.

17 SRA I LLC; SRA II LLC, SRA III
18 LLC, FELIX INVESTMENTS, LLC;
MICHELE J. MAZZOLA; ANNE
19 BIVONA; CLEAR SAILING GFOUP
IV LLC; CLEAR SAILING GROUP V
20 LLC,

21 Relief Defendants.
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Case No. 3:16-cv-1386

CERTIFICATE OF SERVICE

STATE OF CALIFORNIA, COUNTY OF LOS ANGELES

I am employed in the County of Los Angeles, State of California. I am over the age of eighteen (18) and not a party to the within action. My business address is 15260 Ventura Blvd., Suite 1920, Sherman Oaks, California 91403.

On April 11, 2018 I served the following document(s) described as

- **EXPEDITED APPLICATION UNDER L.R. 7-11 FOR THE APPROVAL OF CLAIMS ADMINISTRATOR'S FEES AND ADDITIONAL WORK**
- **DECLARATION OF PETER HARTHEIMER IN SUPPORT OF ADMINISTRATIVE APPLICATION FOR APPROVAL OF CLAIMS ADMINISTRATOR'S FEES AND ADDITIONAL WORK**
- **[PROPOSED] ORDER APPROVING RECEIVER'S APPLICATION TO PAY CLAIMS ADMINISTRATOR AND APPROVAL FOR FURTHER WORK**

on the interested parties in this action:

by serving () the original true copies thereof as follows:

Marc David Katz Securities and Exchange Commission 44 Montgomery Street Suite 2800 San Francisco, CA 94104	
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<p><input checked="" type="checkbox"/> BY MAIL I caused such envelope to be deposited in the mail at Los Angeles, California. The envelope was mailed with postage thereon fully prepaid. I am "readily familiar" with the firm's practice of collection and processing correspondence for mailing. It is deposited with U.S. postal service on that same day in the ordinary course of business. I am aware that on motion of party served, service is presumed invalid if postal cancellation date or postage meter date is more than one (1) day after date of deposit for mailing in affidavit.</p>	<p><input type="checkbox"/> BY FACSIMILE TRANSMISSION I caused said document(s) to be transmitted by facsimile transmission to the name(s) and facsimile telephone number(s) of the person(s) named on the attached service list. The facsimile machine telephone number of the sending facsimile machine was (213) 542-2101. A transmission report was issued by the sending facsimile machine confirming that the transmission was completed without error. A true and correct copy of said transmission report is attached hereto.</p>
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FEDERAL I declare that I am employed in the office of a member of the bar of this court at whose direction the service was made.

1 (X) EXECUTED on April 11, 2018 at Sherman Oaks, California.
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Nicole Salazar